TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 1.902.15 In Re Application Of: R. TerryK. Baker, et al Group Art Unit Examiner Filing Date Serial No. Not Yet Assigned December 11, 2001 Not Yet Assigned Not Yet Assigned Title: CATALYSTS FOR PRODUCING NARROW CARBON NANOSTRUCTURES Address to: **Assistant Commissioner for Patents** Washington, D.C. 20231 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); **OR** ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 1.902.15 In Re Application: R. TerryK. Baker, et al Serial No. Filing Date Examiner **Group Art Unit** Not Yet Assigned December 11, 2001 Not Yet Assigned Not Yet Assigned CATALYSTS FOR PRODUCING NARROW CARBON NANOSTRUCTURES Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) □ A check in the amount of is attached. ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed. Charge the amount of Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit I certify that this document and fee is being deposited on account is being facsimile transmitted to the United States Patent with the U.S. Postal Service as December 11, 2001 and Trademark Office (Fax. No. first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. (Date) Signature Signature of Person Mailing Correspondence Denise Frazier Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate *This certificate may only be used if paying by deposit account. Dated: December 11, 2001 Henry E. Naylor Reg. No. 27,461 Henry E. Naylor & Associates, LLC 11750A S. Harrell's Ferry Rd Baton Rouge, LA 70816 Henry E. Naylor 225-292-2700 225-292-2706 (Fax)

NO FEE IS DUE

CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Option Application Number 1.902 Not Yet A Applicant(s)

R. Terry K. Baker, et al.

Filing Date December 11, 2001 Group Art Unit

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,159,538	12/12/00	Rodriguez, et al.	427	213.31	05/15/99
	вв	5,653,951	08/05/97	Rodriguez, et al.	423	439	05/05/95
	сс	5,618,875	04/08/97	Baker, et al.	524	495	04/08/97
	DD	5,458,784	10/17/95	Baker, et al.	210	674	01/17/95
	EE	5,413,866	05/09/95	Baker, et al.	423	447.2	09/18/92
	FF	5,413,866	05/09/95	Baker, et al.	423	447.2	09/18/92
	GG	5,165,909	11/24/92	Tennent, et al.	423	447.3	10/01/90
	нн	5,149,584	09/22/92	Baker, et al.	428	297	10/23/90
	п	4,663,230	05/05/87	H. J. Tennent	428	367	12/06/84

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
 KEF						YES	NO
<u> </u>							
L							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

M. S. Dresselhaus, et al.; "Fullerenes"; Journal of Materials Research, Vol. 8, No. 8, August 1993; PP 2087-2092 Al

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.